

Food and Drug Administration 10903 New Hampshire Avenue Document Control Center – WO66-G609 Silver Spring, MD 20993-0002

Smith & Nephew, Incorporated Allison Chan Regulatory Affairs Specialist 7135 Goodlett Farms Parkways Cordova, Tennessee 38018 October 28, 2015

Re: K150241

Trade/Device Name: Genesis II XLPE Resurfacing Patellar Components

Regulation Number: 21 CFR 888.3560

Regulation Name: Knee joint patellofemorotibial polymer/metal/polymer semi-constrained

cemented prosthesis

Regulatory Class: Class II

Product Code: JWH, MBH, KRO, KRR

Dated: September 25, 2015 Received: September 28, 2015

#### Dear Ms.Chan:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803); good manufacturing practice requirements as set

forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to

http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm for the CDRH's Office of Surveillance and Biometrics/Division of Postmarket Surveillance.

You may obtain other general information on your responsibilities under the Act from the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm.

Sincerely yours,

Mark N. Melkerson -S

Mark N. Melkerson Director Division of Orthopedic Devices Office of Device Evaluation Center for Devices and Radiological Health

Enclosure

# DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

# Indications for Use

Form Approved: OMB No. 0910-0120 Expiration Date: January 31, 2017 See PRA Statement below.

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510(k) Number (if known)	
K150241	
Device Name	
Genesis II XLPE Resurfacing Patellar Components	

Indications for Use (Describe)

The Genesis II XLPE Resurfacing Patellar Components are intended to be used with Smith & Nephew Total Knee Systems and Patello-Femoral Replacement Knee Systems and their cleared Indications for Use.

Indications for Total Knee Replacement

- 1. Rheumatoid arthritis.
- 2. Post-traumatic arthritis, osteoarthritis, or degenerative arthritis.
- 3. Failed osteotomies, unicompartmental replacement, or total knee replacement.
- 4. Posterior stabilized knee systems are designed for use in patients in primary and revision surgery, where the anterior and posterior cruciate ligaments are incompetent and the collateral ligaments remain intact.
- 5. Constrained knee systems are designed for use in patients in primary and revision surgery, where the posterior cruciate ligament and one or both of the collateral ligaments (i.e. medial collateral and/or lateral collateral ligament) are incompetent.
- 6. Hinge knee systems are designed for use in patients in primary and revision surgery, where the posterior cruciate ligament and one or both of the collateral ligaments (i.e. medial collateral and/or lateral collateral ligament) are absent or incompetent.

Indications for Patello-Femoral Replacement

- 1. Degenerative arthritis in the distal femur and patella;
- 2. A history of patellar dislocation or patellar fracture; and
- 3. Failed previous surgery (arthroplasty, tibial tubercle elevation, lateral release) where pain, deformity or dysfunction persists.

The Smith & Nephew Patello-Femoral Implants are intended for implantation with bone cement,

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Type of Use (Select one or both, as applicable)	
☑ Prescription Use (Part 21 CFR 801 Subpart D)	Over-The-Counter Use (21 CFR 801 Subpart C)

#### CONTINUE ON A SEPARATE PAGE IF NEEDED.

This section applies only to requirements of the Paperwork Reduction Act of 1995.

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Department of Health and Human Services Food and Drug Administration Office of Chief Information Officer Paperwork Reduction Act (PRA) Staff PRAStaff@fda.hhs.gov

"An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB number."

# 510(k) Summary Genesis II XLPE Resurfacing Patellar Components

**Submitted by:** Smith & Nephew, Inc.

1450 East Brooks Road Memphis, Tennessee 38116

Date of Summary: March 25, 2015

Contact Person and Address: Allison Chan

Regulatory Affairs Specialist

T 901-399-1098 F 901-566-7022

Name of Device: Genesis II XLPE Resurfacing Patellar Components

Common Name: Knee prosthesis

**Device Classification Name and** 

Reference:

21 CFR 888.3560 Knee joint patellofemorotibial metal/polymer/metal semi-constrained cemented

prosthesis

21 CFR 888.3565 Knee joint patellofemorotibial

metal/polymer porous-coated uncemented prosthesis

21 CFR 888.3510 Knee joint femorotibial metal/polymer

constrained cemented prosthesis

21 CFR 888.3540 Knee joint patellofemoral

polymer/metal semi-constrained cemented prosthesis

Device Class: Class II

Panel Code: Orthopaedics/87

**Product Code:** JWH, MBH, KRO, KRR

# **Device Description**

Subject of this Premarket Notification are the Genesis II XLPE resurfacing patellar components manufactured from highly cross linked polyethylene (XLPE) material. The Genesis II XLPE resurfacing patellar components are intended to be used with the following knee systems

- Smith & Nephew Total Knee Systems
- Smith & Nephew Patello-Femoral Knee Systems
- Competitor PFJ Patella-Femoral Knee Implants K051086
- Legion Hinge Knee System K081111
- Genesis II Constrained System K962137

Components of this premarket notification include

 Round and Oval Patellar components manufactured from highly cross-linked polyethylene (7.5 Mrad XLPE) material.

#### Intended Use

The Genesis II XLPE Resurfacing Patellar Components are intended to be used with Smith & Nephew Total Knee Systems and Patello-Femoral Replacement Knee Systems and their cleared Indications for Use.

# **Indications for Total Knee Replacement**

- 1. Rheumatoid arthritis.
- 2. Post-traumatic arthritis, osteoarthritis, or degenerative arthritis.
- 3. Failed osteotomies, unicompartmental replacement, or total knee replacement.
- Posterior stabilized knee systems are designed for use in patients in primary and revision surgery, where the anterior and posterior cruciate ligaments are incompetent and the collateral ligaments remain intact.
- 5. Constrained knee systems are designed for use in patients in primary and revision surgery, where the posterior cruciate ligament and one or both of the collateral ligaments (i.e. medial collateral and/or lateral collateral ligament) are incompetent.
- 6. Hinge knee systems are designed for use in patients in primary and revision surgery, where the posterior cruciate ligament and one or both of the collateral ligaments (i.e. medial collateral and/or lateral collateral ligament) are absent or incompetent.

# **Indications for Patello-Femoral Replacement**

- 1. Degenerative arthritis in the distal femur and patella;
- 2. A history of patellar dislocation or patellar fracture; and
- 3. Failed previous surgery (arthroplasty, tibial tubercle elevation, lateral release) where pain, deformity or dysfunction persists.

The Smith & Nephew Patello-Femoral Implants are intended for implantation with bone cement.

## Comparison of Technological Characteristics with the Predicate Device

The Genesis II XLPE resurfacing patellar components are substantially equivalent in design and fundamental scientific technology to the defined predicate devices and do not raise any new issues of safety and efficacy. At a high level, the subject and predicate devices are based on the following same technological elements

- Use only with cement and single use
- Same articular and cement interface geometry

No technological differences exist between the subject and predicate device.

# Performance Data

The following performance data were provided in support of the substantial equivalence determination.

# Mechanical Testing

To further support a determination of substantial equivalence, non-clinical bench (mechanical) testing was conducted to support the material change from conventional polyethylene to highly cross linked polyethylene (XLPE) on the Genesis II resurfacing patellar components. Test results demonstrated that the proposed devices are substantially equivalent to the previously cleared predicate device. The specific types of non-clinical testing conducted are listed below.

- Static Shear Testing
- Fatigue Shear Testing

# **Substantial Equivalence Information**

The substantial equivalence of the Genesis II XLPE resurfacing patellar components is based on it similarities in the indications for use, design feature, and operational principles to the predicate systems listed in the table below. In addition the change in material to highly crosslinked polyethylene is identical to the material in reference predicate K071071.

Table 5.1: Substantially Equivalent Primary Predicates to the Genesis II XLPE Resurfacing Patellar Components

Design Aspect	Genesis II XLPE	Genesis II Knee	Anthem Total
Reviewed	resurfacing patellar	System	Knee System
	components		
510(k) Number	Subject 510(k)	K951987	K142807
Predicate Type	Not Applicable	Primary	Primary
Manufacturer	Smith & Nephew	Smith & Nephew	Smith & Nephew
Similar Indications	Yes	Yes	Yes
for Use			
Similar	Yes	Yes	Yes
Sterilization			
Methods			
Articulating	Round and oval	Round	Round and oval
Surface			
Material	XLPE	Patellar	Patellar
		components -	components -
		UHMWPE	UHMWPE

# Conclusion

As previously noted, this Traditional 510(k) Premarket Notification is submitted to request clearance for the modified Genesis II XLPE resurfacing patellar components. Based on the similarities to the predicate components and a review of the mechanical testing performed, the devices are substantially equivalent to the above predicate knee systems.